

## Stock and Securities

Gifts of publicly traded stock or other securities are received electronically by the UT Foundation through our broker, BNY Mellon. The donor's broker initiates the transfer from the donor's account to the UT Foundation BNY Mellon account. Stocks are liquidated by BNY Mellon, and gifts are credited to the donor's account when the stock proceeds are received. A receipt will be issued by the UT Foundation Gift Records department when the gift is posted.

## Stock and Security Gift Processing Instructions

1. Please provide the following information to the donor or donor's broker for gifts of securities to the UT Foundation:

### **DTC Eligible Securities**

DTC number: 0443  
Pershing, LLC  
For credit to account number:  
N7M002366  
Client account name:  
The University of Tennessee Foundation

### **For All Physical Securities:**

Physical Certificates and the required paperwork will be sent directly to Pershing LLC., the BNY Mellon clearing broker dealer. Please contact BNY Gift Processing at 412-234-6067 or by email (below) if you have difficulties.

### **Fed Eligible Securities (Treasury Securities)**

ABA number: 021000018  
Bank of NY/Pershing  
For credit to account number:  
N7M002366  
Client account name: The University of Tennessee Foundation

### **Mutual Funds and Foreign Security Gifts**

Please contact BNY Mellon Capital Markets if you have difficulties transferring the mutual fund and/or a BIN (account at the Mutual Fund) is required at 412-234-6067 or by email (below).

Gift Processing Email: [bnymcmgiftprocessing@bnymellon.com](mailto:bnymcmgiftprocessing@bnymellon.com)

2. Please email the following information to [stock@utfi.org](mailto:stock@utfi.org):
  - Donor's name(s) and ACE (donor) ID#
  - Address or other contact information that needs to be updated, if applicable
  - Name of security and number of shares to be transferred
  - Account name or allocation of the gift

The date of gift is the date the securities are received in UTFI's account, not the date the securities leave the donor's account. Stock transfers can take at least 24 hours and often as much as 72 hours to complete (in the case of transfers from Fidelity the time frame can extend up to ten days). Please ensure that the donor is aware of the time lag.

Questions can be directed to Amy King at [AKing@utfi.org](mailto:AKing@utfi.org).